



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Gregg S. SCHMIDTKE, et al.

Confirmation No.:

Application No.: 10/008,837

Examiner:

Filing Date: 12/06/2001

Group Art Unit:

Title: ILLUMINATION SYSTEMS AND METHODS

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV 175421937 US

Date of Deposit June 3, 2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: Mark D. Trenner

Respectfully submitted,

Gregg S. SCHMIDTKE, et al.

By

Mark D. Trenner

Attorney/Agent for Applicant(s)
Reg. No. 43,961

Date: June 3, 2003

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PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10019544-1

APPLICATION NO.

10/008,837

CONFIRMATION NO.

APPLICANT

Gregg S. SCHMIDTKE, et al.

FILING DATE

12/06/2001

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,661,287	Aug. 26, 1997	Schaefer et al.	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Search Report from the U.K. Patent Office, dated 23 April 2003, in corresponding U.K. Patent Application No. GB 0227219.3 of Hewlett-Packard Co.
	1R	
	1S	

EXAMINER

DATE CONSIDERED

06-05-03

2873



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregg S. SCHMIDTKE et al.

Application Serial No.: 10/008,837

Filed: 12/06/2001

For: ILLUMINATION SYSTEMS AND
METHODS

Docket No.: 10019544-1

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) Confirmation No. Not yet accorded
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) Group Art Unit: Not yet accorded
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) Examiner: Not yet accorded
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CERTIFICATE OF EXPRESS MAILING

I hereby certify that the attached documents:

1. Two self-addressed, stamped postcards for return by the U.S. Patent Office;
2. Information Disclosure Statement; and
3. PTO Form 1449 with copy of UK search report and cited references,

are all being deposited with the United States Postal Service, addressed to, via Express Mail No. EV 175421937 US, on June 3, 2003.

DAHL & OSTERLOTH, L.L.P.

By: Mark D. Trenner
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